

## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>50435-042</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/US 98/ 26074</b>	International filing date (day/month/year) <b>10/12/1998</b>	(Earliest) Priority Date (day/month/year) <b>11/12/1997</b>
Applicant <b>SUN MICROSYSTEMS, INC. et al.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

**1. Basis of the report**

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☐ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

☒ None of the figures.

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 G06F1/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GONG L ET AL: "Going beyond the sandbox: an overview of the new security architecture in the Java/sup TM/ Development Kit 1.2" , PROCEEDINGS OF THE USENIX SYMPOSIUM ON INTERNET TECHNOLOGIES AND SYSTEMS, PROCEEDINGS OF THE USENIX SYMPOSIUM ON INTERNET TECHNOLOGIES AND SYSTEMS, MONTEREY, CA, USA, 8-11 DEC. 1997 , ISBN 1-880446-91-X, 1997, BERKELEY, CA, USA, USENIX ASSOC, USA, PAGE(S) 103 - 112 XP002100907 see page 105, right-hand column, line 4 - page 110, left-hand column, line 21 --- -/--	1-24

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

29 April 1999

Date of mailing of the international search report

18/05/1999

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/26074

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WALLACH D S ET AL: "Extensible security architectures for Java", 16TH ACM SYMPOSIUM ON OPERATING SYSTEMS PRINCIPLES, SAINT MALO, FRANCE, 5-8 OCT. 1997, ISSN 0163-5980, OPERATING SYSTEMS REVIEW, DEC. 1997, ACM, USA, PAGE(S) 116 - 128 XP002101681	1,7-10, 16-19
Y	Retreived from Internet: <URL: <a href="http://www.cs.princeton.edu/sip/pub/sosp97.ps.gz">http://www.cs.princeton.edu/sip/pub/sosp97.ps.gz</a> > 2 April 1999, pages 1 - 22 see abstract see page 5, line 7 - page 8, line 7 see page 10, line 14 - page 14, line 21 -----	2-6, 11-15, 20-24
Y	US 5 311 591 A (FISCHER ADDISON M) 10 May 1994  see column 5, line 1 - column 9, line 16; figures 2,3D -----	2-6, 11-15, 20-24

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/26074

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5311591 A	10-05-1994	US 5412717 A	02-05-1995
		AT 177857 T	15-04-1999
		AU 3820993 A	18-11-1993
		CA 2095087 A	16-11-1993
		DE 69323926 D	22-04-1999
		EP 0570123 A	18-11-1993
		JP 6103058 A	15-04-1994
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